

A cross-linguistic study of case and switch-reference in unrelated languages

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Abstract

The growing body of information on the world's languages has revealed typological similarities among languages which can hardly be said to be historically or geographically related, corroborating the hypothesis that linguistic variation is limited. In this talk we illustrate this claim with two case studies where we compare Amerindian languages with Australian, Trans New Guinean, and Austronesian languages with respect to clause-combining and argument-marking phenomena.

In the first case study we compare on the one side Kĩsêdjê (Jê, Brazil) and Panoan languages, and on the other side Austronesian, Trans New Guinean and Pama-Nyungan languages. The languages compared display a mechanism to disambiguate sentences such as “He saw him and he ran away”. Morphology between the clauses indicates whether their subjects are identical or different in reference, a kind of morphology that has been labeled “switch-reference marking” by Jacobsen (1967). We will look at details of the construction across the language groups and identify similarities and parametrized differences in its instantiations.

In our second case study we compare on the one side Panoan languages and on the other side Pama-Nyungan languages. The languages compared have in common the fact that their ergative case systems are split according to a person hierarchy. Authors such as Goddard (1982), Comrie (1991), Legate (2008, 2012) and Baker (in press) have proposed that the latter languages have tripartite case systems in which ergative is assigned to subjects of transitive clauses, accusative to objects, and nominative to subjects of intransitive clauses. We will show that a number of Panoan languages also display such a system and analyse similarities and contrasts among the specific constructions.

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1 Switch-reference marking

- (1) Switch-reference marking in Kĩsêdjê (Jê, Brazil, Nonato’s field notes)
- a. Different subject:
 Hên [wa ngátyrejê thō mā khon kande] =**nh**y [∅ mbra.]
 FACT [1_{nom} child a to 3_{abs}.knee treat] =**and.ds** [3_{nom} walk.]
 ‘I treated the child’s knee and he walked.’
- b. Same subject:
 ∅ [Khupyt=ta sukande py] =**n** [∅ tho ∅-khra kande.]
 FACT [K.=NOM medicine get] =**and.ss** [3_{nom} 3_{abs}.with 3_{abs}-son treat]
 ‘K. got this medicine and pro treated his son with it.’

1.1 Types of distinction encoded

In the tables below *S* stands for *subject*, whether of transitive or intransitive verbs. We propose that switch-reference marking is sensitive to argument case rather than to verb transitivity. The latter proposal has been defended, among others, by Valenzuela (2003) and Camacho (2010).

Though in most situations the proposals can’t be distinguished, in section 2.4 we discuss situations where ergative is assigned to the subject of *intransitive* verbs. In those situations, we can unambiguously detect that switch-reference is sensitive to the *case* rather than to transitivity.

Additionally, in the Warlpiri switch-reference system, the *O=S* marker is unambiguously sensitive to the case of the object. This provides an additional argument that switch-reference is sensitive to case. It extends to the tracking of subjects given a desideratum of parsimonious grammars.

Table 1: SR markers in Shipibo (Panoan, Peru)

function of the coreferent arguments		tense of the clause on the left with respect to the clause on the right	
in the clause on the left	in the clause on the right	simultaneous	anterior
S	S (ABS)	-i	-ax
S	S (ERG)	-kin	-xon
S	S	-anan	-taanan
O	S	-a	
subjects not coreferential:		-n/-tian	

[adapted from Camacho (2010)]

Table 2: SR markers in Warlpiri (Pama-Nyungan, Australia)

function of the coreferent arguments		tense of the clause on the left with respect to the clause on the right	
in the clause on the left	in the clause on the right	simultaneous or anterior	anterior
S (ABS)	S	<i>-karra</i>	<i>-ngaka/-rla</i>
S (ERG)	S	<i>-karra-rlu</i>	
O (ACC)	S	<i>-kurra</i>	
O (DAT)	S	<i>-kurra-ku</i>	
subjects not coreferential:		<i>-ngkarni/-rlarni</i>	

[adapted from Austin (1981b) (citing Hale, 1976), with a refinement from Simpson (1991, p. 318, fn. 9)]

- (2) **O=S** marker in Shipibo (Panoan, Peru, Valenzuela 2003, p. 424, ex. 25)
 [Ja-n e-a rao-n] -a -ra [e-n nonti bená-wan-ke]
 [3-ERG 1-ABS medicine-TRNZ] -O=S -EV [1-ERG canoe:ABS look.for-PST1-CMPL]
 ‘(S)he treated me with plant medicine and I looked for a canoe.’
- (3) **O=S** marker in Warlpiri (Pama-Nyungan, Australia, Austin 1981b, p. 325, ex. 55)
 [Ngajulu-rlu rna yankirri-∅ pantu-rnu,] [pro ngapa nga-rninja-kurra.]
 [I-ERG AUX emu-ABS spear-PAST] [emu water-ABS drink-INF-S=O]
 ‘I speared the emu while it was drinking water.’

1.2 Agreement with subject of reference clause

- (4) In Kĩsêdjê (Jê, Brazil) SR-marking conjunctions agree in person/case with the *following* subject
 [atha=n ka khu-'py] =wa ['nhũm=na wa tho ∅-kande mã?]
 [that=INFL 2_{nom} 3_{acc}-get] =and.DS.1_{nom} [who=INFL 1_{nom} 3_{abs}.with 3_{acc}-treat FUT]
 ‘You got that and who will I treat with it for you?’ (Nonato’s field notes)
- (5) In Yawanawa (Panoan, Brazil) SS-marking conjunctions agree in case with the *following* subject
 [Tuĩ Kuru-nē Tamakâyã anu peshe xarakapa wa] -she [pro ik-a.]
 [Tuĩ Kuru-ERG Tamakaya LOC house pretty make] -and.SS.ABS [he.ABS AUX.INTR-PRF]
 ‘Tuĩ Kuru made a pretty house by the Tamakayã creek and lives there.’ (Souza’s field notes)
- (6) In Warlpiri (Pama-Nyungan) SS-marking conjunctions agree in case with the *preceding* subject
 [Ngarrka-ngku karnta paka-rnu] [ngarrka-kariyinyanu-ku rdanpa-rninja-karra-rlu.]
 [Man-ERG woman hit-PST] [man-OTH.SELF-DAT accompany-INF-SS-ERG]
 ‘While accompanying another man, the man hit the woman. (Simpson, 1991, ex. 162b)

1.3 Partial coreference

There are 3 types of situations in which referential expressions are neither completely coreferent nor have completely disjoint reference.

- (7) Subtypes of partial coreference
- Growing Subject:** $S_1 \subset S_2$ ($S_1 = \{i\}; S_2 = \{i, j\}$)
I_i built the house by myself and we_i + j all live in it.
 - Shrinking Subject:** $S_1 \supset S_2$ ($S_1 = \{i, j\}; S_2 = \{i\}$)
We_i + j built the house together and only I_i live in it.
 - Strictly-Intersecting Subjects:**
 $S_1 \cap S_2 \neq \emptyset, S_1 \not\subset S_2, S_1 \not\supset S_2$ ($S_1 = \{i, j\}; S_2 = \{i, k\}$)
He_i and his father-in-law_j built the house and he_i and his wife_k live in it.

A survey of the literature on switch-reference revealed no language with special morphology for marking partial coreference between subjects (no data was found on partial coreference between objects). Languages extend the use of same-subject and different-subject markers to cover situations of partial coreference.

The symbols used on the table are: ✓, to indicate that a language allows same-subject marking in a specific situation; *, to indicate that a language disallows same-subject marking in a specific situation; and =*p*, to indicate that a language allows same-subject marking in a specific situation only in case the subjects under comparison are of the same grammatical person. Cells left empty indicate that no information was found in the literature about how a language behaves in certain situation.

Table 3: How languages mark partial coreference

Language	Family	marked as SS			marked as DS			Reference
		$1 \subset 2$	$1 \supset 2$	$1 \cap 2$	$1 \subset 2$	$1 \supset 2$	$1 \cap 2$	
Udihe	Altaic	✓	✓					Nikolaeva and Tolskaya (2001)
Lenakel	Austronesian	✓	*	*				Lynch (1978); Lynch (1983)
Seri	Hokan	*			✓			Moser (1978)
Washo	Hokan	✓	✓	*				Finer (1984, p. 85)
Zuni	Isolate	*	✓		✓			Nichols (2000)
Kĩsêdjê	Jê	p=	*	*	p≠	✓	✓	Nonato (2014)
Gokana	Niger-Congo	✓	*	*				Comrie (1983)
Usan	Numugenan	p=	✓		p≠	p≠		Reesnik (1983)
Mian	Ok (TNG)	✓	✓			✓		Fedden (2011)
Telefol	Ok (TNG)		✓					Healey (1966)
Diyari	Pama–Nyungan	✓	*		*	✓		Austin (1981b)
Arabana	Pama–Nyungan	✓						Austin (1981b)
Kanyara	Pama–Nyungan	✓						Austin (1981b)
Pitjantjatjara	Pama–Nyungan	✓	*					Eckert and Hudson (1988)
Yawanawa	Panoan		=p		✓	≠p		<i>Souza's field notes</i>
Savosavo	Papuan	✓						Wegener (2012)
Kashaya	Pomoan	✓	✓		✓	✓		Oswalt (1961)
Tauya	TNG		✓		✓			MacDonald (1990)
Kobon	TNG	p=	✓	p	p≠	*	p≠	Comrie (1983)
Kewa	TNG	p=	p=		✓	✓		Reesnik (1983)
Pima	Uzo-Aztecan	*						Langdon and Munro (1979)
Huichol	Uzo-Aztecan	✓	✓	✓				Comrie (1983)
Jamul	Yuman	✓	*		✓	✓		Miller (2001)
Mojave	Yuman	✓	✓		✓	✓		Finer (1984, p. 88)
<i>general</i>	Yuman	✓	✓					Langdon and Munro (1979)

(8) Partial coreference in Pitjantjatjara (Eckert and Hudson, 1988, pp. 258–9)

a. Growing switch marked as same subject

[Tjitji kutjara tjanala tjunguringu] **munu** [-ya ma-pakanu.]
 [child two them.with joined] **and.ss** [-they away-started.off]

‘The two children joined them and they all started off.’

b. Shrinking switch marked as different subject

[Tjitji tjuta anu] **ka** [kutjara kunyu ngururpa watjilaringnu.]
 [child many went] **and.DS** [two reportedly in.the.middle got.homesick]

‘Many children went but two of them reportedly got homesick along the way.’

(9) Partial coreference is found more generally in control structures

a. I want to meet at 7. $\approx$ I want us to meet at 7. ($S_1 \subset S_2$)

b. Note that the subject of *meet* can’t be singular

(i) *I met at 7.

(ii) We met at 7.

1.4 Symmetric vs. Asymmetric Coordination

(10) *Symmetric* vs. *asymmetric* clausal coordination

a. Symmetric Coordination (SC)

(i) Matthew dates a veterinarian and hopes to date a surgeon.

(ii) = Matthew hopes to date a surgeon and dates a veterinarian .

b. Asymmetric Coordination (AC)

(i) You can use this magic herb and get cured of cancer.

(ii) $\neq$ You can get cured of cancer and use this magic herb.

- (11) Switch-reference in *asymmetric* coordination in Pitjantjatjara (Eckert and Hudson, 1988, p. 258)
- Munu** [-la kuka panya pitjala mantjinu] **munu** [-la paura ngalkuningi] **ka**
and.ss [-we meat that.known coming got] **and.ss** [-we cooking were-eating] **and.ds**
 [-lanya mala-kutju nyangu.]
 [-us afterwards-only saw.]
 ‘So we came and got the meat and we cooked and ate it and only after that did they see us.’

“Sometimes *ka* joins two sentences with (apparently) the same subject. *Ka* is then really contrasting two situations or topics and functions like ‘but’. Though the subject of the two sentences are the same, the occasions or situations are distinctive. In the sentence that follow, the *ka* divides and contrasts the two time periods being talked about: the first long ago and the second today.” (Eckert and Hudson, 1988, p. 262)

- (12) No switch-reference in *symmetric* coordination in Pitjantjatjara (Eckert and Hudson, 1988, p. 262)
- [Nganana anangu tjuta iriti para-nyinarinangi ngura tjutangka, *munu*-la pukulpa pika
 [we people many long.ago around-were.living place many.at *and.ss*-we content sick
 wiya ngarangi.] **Ka** [-la nganana kuwari utulu kutju nyinanyi ngura kutjunga
 not were-being] **and.ds** [-we we now group one are-living place one.at
munu-la putu pukularinyi.]
and.ss-we unable being.content]
 ‘[We (aboriginal) people long ago lived in many different places. We were content and didn’t get sick.] [But now we all live together in one place and we seem unable to be content.]’
- (13) In Kĩsêdjê (Jê, Brazil), switch-reference isn’t marked in *symmetric* coordination
- [Hwĩsôsôk tá khãm hwysysôm=nda khêt]₁ =**ne** [kê i-khá=ra thyktxi]₂
 [school in mosquito=NOM be.not] =**and.ss** [also 1_{abs}-shirt=NOM be.dirty]
 =wa [s-atára khêrê]₃
 =and.ds.1_{NOM} [3_{abs}-put_{emb} be.not]
 ‘At the school there are no mosquitoes and my shirt was dirty and then I didn’t put it on.’

1.5 Other clause-combining structures that can host switch-reference

1.5.1 Complement clauses

- (14) Switch-reference marked complement clauses in Hopi (Hale, 1992, exs. 1 & 5)
- a. Nu’ ’as [EC kweewa-t tu’i-ni-qa-y] naawakna.
 I PRT [belt-ACC buy-FUT-NC-ACC:SS] want
 ‘I want to buy a belt.’
- b. Nu’ [’i pava ’inu-ngam kweewa-t yuku-ni-qa-t] naawakna.
 I [my bro me-for belt-ACC make-FUT-NC-ACC:DS] want
 ‘I want my brother to make me a belt.’

1.5.2 Adjoined clauses

Often it is hard to determine the difference between asymmetric coordination and adverbial subordination, but the latter also seems to be a possible host for switch-reference morphology.

Accepting the following differences between asymmetric coordination and adverbial subordination will lead us to provisionally conclude that switch-reference in Diyari and Warlpiri is only instantiated in *adverbial subordination*, as opposed to Pitjantjatjara, where it is clearly *also* instantiated in asymmetric coordination.

- (15) Properties that differentiate asymmetric coordination from adverbial subordination
- (A) In asymmetric coordination clauses are asserted and advance the timeline of the discourse;
 (B) The number of clauses in a coordination isn’t limited.
 (D) Constituents can be fronted in a non-ATB fashion from asymmetric coordination

In asymmetric coordination clauses are asserted and advance the timeline of the discourse

The first part of this property —*each clause is individually asserted*— stands for the fact no clause in a chain merely serves as the presupposition for another.

- (16) Asymmetric coordination: asserted
I gave him orders and he went to Canarana.
- (17) Adverbial subordination: presupposed
When I gave him orders he went to Canarana.
- (18) Testing assertion
It is not true that I gave him orders and he went to Canarana
... because I didn't give him orders.
- (19) Testing presupposition
It is not true that when I gave him orders he went to Canarana
... * because I didn't give him orders.
- (20) Clauses asserted in *asymmetric* coordination in Pitjantjatjara —*copy of (11)*
Munu [-la kuka panya pitjala mantjinu] **munu** [-la paura ngalkuningi] **ka**
and.ss [-we meat that.known coming got] **and.ss** [-we cooking were-eating] **and.DS**
 [-lanya mala-kutju nyangu.]
 [-us afterwards-only saw.]
 'So we came and got the meat and we cooked and ate it and only after that did they see us.'

Sometimes free translations don't clearly translate assertion and presupposition

- (21) Translating 'X told Y to fish' in Kĩsêdjê (Jê, Brazil, *Nonato's field notes*)
- a. Asymmetric coordination: asserted
 Hên [wa i-khra mã ne] =nhy [Ø thep j-ariri]
 FACT [1_{nom} 1_{abs}-son to talk] =and.DS [3_{nom} fish.ACC LNK-wait]
 'I told my son to go fish' (lit. 'I talked to son and he fished')
- b. If assertion isn't desired, enters *adverbial subordination* (which in Kĩsêdjê doesn't bear SR)
 Hwararo=n i-pãm=nda [thep jarit mã] i-mã ne.
 Yesterday=FACT 1_{abs}-father=NOM [fish.ABS wait to] 1_{abs}-to talk.
 Tã wa Ø-mbaj khêt =ne i-thêm khêrê.
 But 1_{nom} 3_{abs}-forget =and.ss 1_{abs}-go_{emb} be.not.
 'Yesterday my father told me to fish. But I forgot and didn't go.'

The number of clauses in a coordination isn't limited

- (22) Many clauses in *asymmetric* coordination in Pitjantjatjara —*copy of (11)*
Munu [-la kuka panya pitjala mantjinu] **munu** [-la paura ngalkuningi] **ka**
and.ss [-we meat that.known coming got] **and.ss** [-we cooking were-eating] **and.DS**
 [-lanya mala-kutju nyangu.]
 [-us afterwards-only saw.]
 'So we came and got the meat and we cooked and ate it and only after that did they see us.'
- (23) Hard to add adverbial clauses to adverbial clauses
 *When when it rained I came to the department it was closed.
- (24) We didn't find adverbial clauses adjoined to adverbial clauses in *Pama-Nyungan relative clauses*
 [Yini nhakalda nhingkirda wakara-rnanhi,] [nganhi mindi-lha] [nganayi, yulya
 [you-NOM again here come-REL(DS)] [I-NOM run-FUT] [AUX police-ABS
 mani-lha.]
 get-IMPL(SS)]
 'If you come here again, I'll run to get the police.' (Austin, 1981b, p. 318, ex. 25)

Constituents can be dislocated from asymmetric coordination

- (25) Dislocation from asymmetric coordination in Mbyá (Dooley, 2010, p. 106, ex. 32)

$\downarrow$
 Mava'e tu [nha-vaẽ ramo] [∅ nhane-mo-ngaru 'rã?]
 who brusqueness [1+2-arrive and.DS] [3 1+2-CAUS-eat FUT]
 'Who_i is such that we arrive and he_i will feed us?'

- (26) Dislocation from asymmetric coordination in Choctaw (Broadwell, 1997, p. 11, ex. 13)

$\downarrow$
 Katah-oosh_i John-at taloowa-nah t_i hilhah?
 who-foc:nm John-nm sing:l-DS dance
 'Who_i is such that John_j sang and t_i danced?'

- (27) Dislocation from asymmetric coordination in English (Postal, 1998, p. 66, ex. 50a)

$\downarrow$
 [Which_i student] did Nora go to the store, come home and talk to t_i for one hour?

1.6 Conclusions

- Types of distinction encoded
- Agreement with subject of reference clause
- Partial coreference
- Only appears in asymmetric structures

2 Tripartite case systems

- Languages with person based split-ergativity have tripartite case systems.
- Expanding analysis of Pama-Nyungan languages to Panoan family.
- Focus on ‘core cases’ only —ERG, NOM, ACC — also ‘structural cases’.
- Agreement on SR markers is independent evidence for underlying tripartite case systems (2.3.3)

2.1 Nominals with tripartite morphology

Pitta-Pitta (Pama-Nyungan, Blake 1977): all nouns and pronouns show distinct forms for ergative *-lu*, accusative *-na*, and nominative $\emptyset$, except in future tense and imperative.

Table 4: Pitta-Pitta’s case system (Pama Nyungan, Blake, 1977, p. 18)

	ERG	NOM	ACC
pronouns	-lu	- $\emptyset$	-na
nouns	-lu	- $\emptyset$	-na

(28) Three-way case system of Pitta-Pitta

- a. Transitive subject and object (Blake, 1979, p. 210)

Tʼira-**na** ŋa-**tu** ŋuiʼakuri-ya paya-**na** tuwa-liŋa.
 boomerang-ACC 1S-ERG make-pres bird-ACC hit.with.missile-PURP
 ‘I’m making a boomerang to kill birds.’

- b. Intransitive subject (Blake, 1977, p. 18, ex. 3.15)

Kaŋa- $\emptyset$ kaŋta-ya.
 man-NOM go-PRES
 ‘The man goes.’

Kashibo-Kakataibo, (Panoan, Zariquiey 2011): Tripartite pronoun system

Table 5: Kashibo’s case system (Panoan, Zariquiey, 2011, p. 221)

	ERG	NOM	ACC
1sg	‘ ën	‘ ëx	‘ ë
2sg	min	mix	mi
3sg	an	ax	a
1du.incl	nun	nux	nu
2du	mitsun	mitsux	mitsu
3du/pauc	atun	atux	atu
1pl.incl	nukaman	nukamax	nukama
1pl.excl	‘ ëkaman	‘ ëkamax	‘ ëkama
2pl	mikaman	mikamax	mikama
3pl	akaman	akamax	akama
‘who’	= n	= x	- $\emptyset$
nouns	= n	- $\emptyset$	- $\emptyset$

- (29) Tripartite case marking on interrogative pronoun ‘who’ in Kashibo (Panoan):
(Zariquiey, 2011, pp. 222–3)
- a. Transitive object
Ui- $\emptyset$ kara is-a-x-a.
WHO-**ACC** NAR.INT.3 see-PERF-3-NON.PROX
‘Whom did he look at?’
 - b. Transitive subject
Ui=**n** kara Emilio is-a-x-a
WHO=**ERG** NAR.INT.3p Emilio.ABS see-PERF-3-NON.PROX
‘Who looked at Emilio?’
 - c. Intransitive subject
Ui=**x** kara abat-a-x-a
WHO=**NOM** NAR.INT.3 run-PERF-3-NON.PROX
‘Who ran?’
- (30) Kashibo-Kakataibo nouns: ERG-ABS morphology
- a. Transitive subject and object
Xanu=**n** chaxu- $\emptyset$ rakan-akë-x-ín
woman=**ERG** deer-**ABS** lay.down-REM.PAST-3P-PROX
‘The woman laid down the deer.’ (Zariquiey, 2011, p. 313)
 - b. Intransitive subject
Ēnë xanu- $\emptyset$ ka upí ‘i’
this WOMAN-**ABS** NAR.3P beautiful be (shortened.form)
‘This woman is beautiful.’ (Zariquiey, 2011, p. 556)

Diyari, (Pama Nyungan Austin 1981b): tripartite case marking on 1SG, 2SG, all third persons, non-singular common nouns, female proper nouns.

Table 6: Diyari’s case system (Pama Nyungan Goddard, 1982, pp. 170–1)

	ERG	NOM	ACC
non sg. 1 & 2	$\emptyset$	$\emptyset$	-na
other pronouns	-li	$\emptyset$	-na
non sg. common N	-li	$\emptyset$	-na
female names	-ndu	-ni	-na
male names	-li	-na	-na
sg. common N	-li, -yali	$\emptyset$	$\emptyset$

- (31) Diyari tripartite morphology
- a. Case syncretisms create ambiguities in certain nominal classes (Goddard, 1982, p. 171)
Yula- $\emptyset$ kintala- $\emptyset$ nanda-na wara-yi.
2DU-**ERG**/NOM dog-**ACC**/NOM hit-PART AUX-PRES
‘You two hit the dog.’
 - b. Ambiguity solved by tripartite morphology
Yundu /kintala-wula-**na** nanda-na wara-yi.
2SG-**ERG** dog-DU-**ACC** hit-PART AUX-PRES
‘You hit the two dogs.’

Yawanawa, (Panoan Souza 2013): tripartite case marking on 3PL

Table 7: Yawanawa’s case system (Panoan Souza, 2013, pp. 113–7)

	ERG	NOM	ACC
1sg	ẽ	ẽ	ea
2sg	mĩ	mĩ	mia
1pl	nũ	nũ	nuke
2pl	mã	mã	matu
3sg	atũ	a	a
nouns	-nẽ, -n	∅	∅
3pl	ahãu	ahu	atu

(32) Tripartite case marking on Yawanawa’s 3PL (Souza, 2013, p. 117) :

- a. Transitive subject
Ahaũ epe shewa-kãn-i.
3P.**ERG** straw weave-PL-PROG
‘They are weaving straw.’
- b. Intransitive subject
Ahu ve-kãn-i.
3P.**NOM** come-PL-PROG
‘They are coming.’
- c. Transitive object
ẽ **atu** kux-a.
1S.**ERG** 3P.**ACC** hit-PRF
‘I hit them.’

(33) Ambiguity with Yawanawa’s participant pronouns as subjects

- a. Participant pronoun as transitive subject
Ê/Mĩ yawa rete-a.
1S.ERG/2S.ERG wild.boar kill-PRF
‘I/You killed a wild boar.’
- b. Participant pronoun as intransitive subject
Ê/Mĩ itxu-a.
1S.NOM/2S.NOM run-PRF
‘I/You ran.’

(34) Ambiguity solved with 3SG subjects

- a. 3SG pronoun as transitive subject
Atũ yawa rete-a.
3S.ERG wild.boar kill-PRF
‘(S)he killed a wild boar.’
- b. 3SG pronoun as intransitive subject
A itxu-a.
3S.NOM run-PRF
‘(S)he ran.’

(35) Ambiguity with Yawanawa’s 3SG pronouns as ‘absolutive’ arguments

- a. 3SG pronoun as intransitive subject
A itxu-a.
3S.NOM run-PRF
‘(S)he ran.’
- b. 3SG pronoun as transitive object
Tika-nẽ **a** kux-a.
Tika-ERG 3S.**ACC** hit-PRF
‘Tika hit me/you.’

- (36) Ambiguity solved with participant pronouns as ‘absolutive’ arguments
- Participant pronoun as intransitive subject
Ē/Mī itxu-a.
1s.NOM/2s.NOM run-PRF
 ‘I/You ran.’
 - Participant pronoun as transitive object
 Tika-nē **ea/mia** kux-a.
 Tika-ERG 1s.ACC/2s.ACC hit-PRF
 ‘Tika hit me/you.’

2.2 Nominals with underlying tripartite case

Pitjantjatjara, (Eckert and Hudson 1988, Pama-Nyungan):

Table 8: Pitjantjatjara’s case system (Eckert and Hudson, 1988, pp. 104,109,145,148)

	ERG		NOM		ACC	
	<i>long</i>	<i>short</i>	<i>long</i>	<i>short</i>	<i>long</i>	<i>short</i>
1sg	ngayulu	- <u>na</u>	ngayulu	- <u>na</u>	ngay <u>unya</u>	<u>ni</u>
2sg	nyuntu	-n	nyuntu	-n	nyunt <u>unya</u>	-nta
3sg	paluru	∅	paluru	∅	pal <u>unya</u>	-∅
1du	ngali	-li	ngali	-li	ngal <u>inya</u>	-linya
2du	nyupali	-n	nyupali	-n	nyupal <u>inya</u>	-nta
3du	pula	-pula	pula	-pula	pul <u>anya</u>	-∅
1pl	nganana	-la	nganana	-la	nganan <u>anya</u>	-lanya
2pl	nyura	-n	nyura	-n	nyur <u>anya</u>	-nta
3pl	tjana	-ya	tjana	-ya	tjan <u>anya</u>	-∅
common nouns	-ngku, -tju, -tu, - <u>tu</u>		-∅		-∅	
proper nouns	-lu, -tju, -tu, - <u>tu</u>		-nya, -nga		-nya, -nga	

- (37) Pitjantjatjara’s question words: ERG-ABS morphology (Eckert and Hudson, 1988, pp. 119,120)
- Transitive subject
Nganalu ngayuku tjitji pungu?
 who.ERG my child hit
 ‘Who hit my child?’
 - Intransitive subject
Ngananya punungka nyinanyi?
 who.NOM tree.in is.sitting
 ‘Who is sitting in the tree?’
 - Transitive object
Ngananya nyuntu katingu?
 who.ACC you bring
 ‘Whom did you bring?’

Shanenawa (Panoan, Cândido 2004): nouns and 3rd person pronouns - ERG-ABS, 1st and 2nd person pronouns - NOM-ACC

Table 9: Shanenawa's case system (Panoan, Cândido, 2004, p. 89)

	ERG	NOM	ACC
1sg	in	in	ia
2sg	min	min	mia
3sg	atun/ahun	a/∅	a/∅
1pl	nun	nun	nuku
2pl	man	man	matu
3pl	atun/ahun	atu/ahu	atu/ahu
nouns	-n, -ni, -na, -nu	-∅	∅

(38) Shanenawa's nouns: syncretism between NOM and ACC (Cândido, 2004, p. 89)

- a. Transitive subject
Runu-n takara-∅ naka-a-ki
snake-**ERG** chicken-NOM/ACC bite-PAST-DECL
'The snake bit the chicken.'
- b. Intransitive subject
Runu-∅ na-a-ki
snake-**NOM**/ACC die-PAST-DECL
'The snake died.'
- c. Transitive object
- d. Mário-nu runu-∅ pi-a-ki
Mário-ERG snake-**ACC**/NOM eat-PAST-DECL
'Mário ate the snake.'

(39) Shanenawa's participant pronouns: syncretism between NOM and ERG (Cândido, 2004, pp. 128,129)

- a. Transitive subject
Nun jumaj-∅ riti-a-ki
1PL.**ERG**/NOM jaguar-ACC kill-PAST-DECL
'We killed the jaguar.'
- b. Intransitive subject
Nun ini-kiri u-a-ki
1PL.**ERG**/NOM river-LOC come-PAST-DECL
'We came from the river'
- c. Object (recipient)
Militão-nu **nuku** işkin-∅ inan-a-ki
Militão-ERG 1PL.**ACC** fish-ACC give-PAST-DECL
'Militão gave us fish.'

2.2.1 Two co-existing core case systems?

- Split ergativity, (Silverstein, 1976) hierarchy.
- Goddard (1982), Comrie (1991) : Split case MARKING vs. split case SYSTEM. A distinction is drawn between case and case form: some subclasses of nouns are said to have homonymous case forms, but different cases. The case of any nominal can be determined by substituting for it a nominal from the subclass with tripartite marking, therefore such languages must be regarded as having three core cases: ergative, accusative, and nominative.
- Baker (in press) follows Legate (2008) points out that nominative-accusative pronouns have the same word order and binding properties as ergative-absolutive NPs. It is most easily understood if the syntactic rules of case assignment are tripartite across the board and then case is spelled out differently after different lexical items. Thus, ‘absolutive’ is not a core, structural case. It is a morphological default that substitutes for more specific nominative and accusative marking, when in lack.

2.3 Agreement as evidence for abstract case

Case agreement is independent evidence for underlying tripartite case systems.

2.3.1 Agreement within the NP

This type of evidence is not available in the Panoan family, since case is marked only once in an NP, as an enclitic.

Diyari, (Pama-Nyungan, Austin 1981a, p. 94)

Case marked only on a pronominal determiner (if any) and on the last noun in a complex nominal. In (40-a), pronoun and nominal agree in ERG case. However, pronouns have tripartite marking and singular common nouns do not, so apparent mismatches arise, as in (40-b) and (40-c):

- (40) Case agreement in Diyari’s NPs
- Elements within transitive subject NP agree in ERG case
 [Na-**ndu** pala-kanti-**yali**] mada kampa-yi. (p. 39)
 [3.SG.F-**ERG** sexual.desire-excess-**ERG**] stone.ACC collect-PRES
 ‘The sex maniac collects money.’
 - Elements within intransitive subject NP agree in NOM case despite ambiguity
 [Nawu-**Ø** kanku-**Ø**] dalki-yi ηandi-ni
 [3.SG.**NOM** boy.**NOM**/ACC] disobey-PRES mother-LOC
 ‘The boy disobeyed (his) mother.’
 - Elements within transitive object NP agree in ACC case despite ambiguity
 Nali [ni-**na**-ya kanku-**Ø**] mani-yi.
 1.DU.ERG [3.SG-**ACC**-NEAR boy.**ACC**/NOM] get-PRES
 ‘We get the boy.’

Djapu, (Morphy 1983, Pama-Nyungan cited by Legate 2008): all elements of an NP, whether continuous or discontinuous, must be marked for case and match in case. It is possible to combine a demonstrative, which has ERG-ABS surface morphology and a human noun, which has tripartite ERG-NOM-ACC surface morphology, giving rise to apparent case mismatches.

- (41) Apparent case mismatches in Djapu's NPs:
- Elements within recipient argument NP agree in ACC case despite ambiguity
 Wungay' marrtji-nya [**ngunhi**-ny-dhi yolngu-**n**
 honey go-PAST.NONINDIC [that.ACC/NOM-PRO-ANAPH person-ACC
 wapirti-warrtju-na-puyngu-**nha**-ny] weka-nha.
 stingray-spear.PL-NMLZR-INHAB-ACC-PRO] give-PAST.NONINDIC
 'We would go and give honey to those people who were spearing stingrays
 (lit. 'to those stingray-spearing people').' (Morphy, 1983, p. 110)
 - Elements within intransitive subject NP agree in NOM case despite ambiguity
 [Dhuwa.**Ø** nhe.**Ø**] yurru lili dha:parng rongiyi-rr.
 [this.NOM/ACC you.NOM] FUT HITHER unsuccessful return-UNM
 'YOU will return empty handed [but not I].' (Morphy, 1983, p. 84)

Pitjantjatjara, (Pama-Nyungan Eckert and Hudson 1988, p. 146): pronouns have NOM-ACC case morphology and nouns have ERG-ABS case morphology.

- (42) Elements within Pitjantjatjara's intransitive subject NP agree in NOM case despite ambiguities
- 3DU pronoun + noun as intransitive subject
 Kuwari-**pula** tjitji-**Ø** kutjara pitjangu.
 now-3DU.ERG/NOM child-NOM/ACC two came.
 The two children came back today.
 - 1SG pronoun + proper noun as intransitive subject
 (...) munu-na chairman-**Ø** nyinangi munu-na
 and-1SG.SHORT.ERG/NOM chairman-NOM/ACC was.sitting and-1SG.SHORT.ERG/NOM
 pulkara mulapa waakaringi
 intensely very was.working
 '(...) I was chairman. I really worked hard.'
 - 3PL pronoun + noun as intransitive subject
 Wati-**Ø** tjuta-**ya** anu ngura nyara Fregontakutu.
 man-NOM/ACC many-3PL.NOM/ERG went place yonder Fregon.to
 'The men went to that place (called) Fregon.'

2.3.2 Agreement on adjuncts

Case agreement on adjuncts shows underlying tripartite case system on pronouns, which have surface NOM-ACC morphology. In Margany (Pama-Nyungan) and Jaminawa (Pano) the NOM form of pronouns conveys both underlying NOM and ERG cases.

Margany (Breen 1983, Pama-Nyungan cited by Goddard 1982):

- (43) Case syncretism on Margany's pronouns disambiguated by argument-adjunct agreement:
- Matya **ngaya** balga-nnganda-la yurdi, nhanga-**nggu**.
 before 1SG.ERG/NOM hit-HAB-PAST meat/animal.ABS young-ERG
 'I used to kill a lot of kangaroos when I was young.' (Breen, 1983, pp. 307,336)
 - Gurruny-dyu **ngaya** dhumba-nhi.
 alone-ERG 1SG.ERG/NOM build-REC.PAST
 'I built it on my own.' (Breen, 1983, p. 342)
 - Nhula waba-nhi gurrunyu-**Ø**.
 3SG.NOM/ERG go-REC.PAST alone-NOM
 'He would go on his own.' (Breen, 1983, p. 349)

Jaminawa/Yaminahua (Panoan, Faust and Loos, 2002, p. 55):

(44) Case syncretism on Jaminawa's pronouns disambiguated by argument-adjunct agreement:

- a. **Ē** naetapa-**xō** koma rete-ni.
1SG.ERG/NOM young-when.ERG pheasant hunt-PAST.REM
'When I was young, I hunted a pheasant.'
- b. Yome pishta-**xō** **ē** pari oī-pao-ni.
boy small-when.ERG 1SG.ERG/NOM father see-PAST.PROG-PAS.REM
'When I was little, I used to see the priest.'
- c. Yome-**ax** **ē** niri o-ni.
boy-when.NOM 1SG.ERG/NOM here come-PAST.REM
'When I was a boy, I came here.'
- d. Yome pisht-**ax** **ē** na-kera-ni.
boy small-when.NOM 1SG.ERG/NOM die-INCEPT-PAST.REM
'When I was a boy, I almost died.'

2.3.3 Agreement on switch-reference markers

Pitjantjatjara (Austin 1981a, Pama-Nyungan citing Glass and Hackett 1970, pp. 39,99): Purpose clauses are marked by *kija* if subject is the same, (SS), and *jaku* if subjects are different, (DS). The SS marker is followed by an ERG marker if the subject of the main clause is ERG, as in (45-a). There is no such case agreement on DS markers, as in (45-c).

(45) Pitjantjatjara's agreement on switch-reference markers

- a. Ergative agreement with transitive subject
[wati nyarra]-**lu** kupurlu-**∅** manyji-nu, jiji-**∅** pungku-kija-**lu**.
[man that]-ERG club-ABS get-PAST child-ABS hit-PURP(SS)-ERG
'That man got a club to hit the child.'
- b. Nominative agreement with intransitive subject
palunyanya kutipija-ngu, lankurru palyal-kija-**∅**.
he-NOM goaway-PAST spearthrower.ABS make-PURP(SS)-NOM
'He went away to make a spear thrower.'
- c. No agreement with DS marker
paarlparniya-**∅** ninti-la, mirru mukul junku-jaku.
sinew-ABS give-IMP spear.thrower hook put-PURP(DS)
'Give (me) sinew so (I) can put the hook on the spear thrower.'

Pronouns have NOM-ACC surface morphology, but the agreement marker on the same-subject switch reference marker is ERG, which is evidence for the tripartite case system.

(46) Pitjantjatjara's pronouns agree with ergative SS marker despite ambiguities

- a. katima, mungarrji-**lin** ngalku-kija-**lu**
bring.FUT afternoon-1DU.INCL.ERG/NOM eat-PURP(SS)-ERG
'(I) will bring(it) back for us two to eat in the afternoon.'
- b. pampuny-jamaal-**tu** wanti
touch-REL(SS)-ERG leave-IMP
'Leave (it) without touching (it)!'

Yawanawa (Panoan, Souza’s fieldnotes): SS marker *shũ* agrees with ergative subjects and *she* with nominative subjects. The same markers are used both for participant and non-participant pronouns. This is evidence that participant pronouns in transitive subject position are indeed ergative, despite having NOM-ACC surface morphology.

- (47) Yawanawa’s SS SR marker agrees in ergative case with participant and non-participant pronouns
- a. A-**tũ** awa txatxi-**shũ** rete-a.
 3S-**ERG** tapir stab-SS.PREV.**ERG** kill-PRF
 ‘He stabbed and (then) killed the tapir.’
 - b. **Ē** kehuisã mutsa-**shũ** tua-i.
 1SG.**ERG**/NOM bacaba squeeze-SS.PREV.**ERG** strain-PROG
 ‘I squeezed the bacaba fruit and now I’m straining it.’
 - c. E-wē kuka-**Ø** niika-**she** iyã kesha-ki nuku-a runu-wã-nē
 1S-POSS uncle-**NOM**/ACC hunt-SS.PREV.**NOM** lake edge-PPon arrive-PRF snake-AUM-ERG
 she-a.
 swallow-PRF
 ‘My uncle went hunting and when he arrived at the edge of a lake, an anaconda swallowed him.’
 - d. **Ē** nia-**she** **ē** shaneihu
 1SG.NOM/ERG stand-SS.PREV.**NOM** 1SG.**NOM**/ERG chief
 i-pau-ni.
 AUX.INTRS-PROG.PST-REM.PST
 ‘When I was alive, I was the chief (said the ghost)’

2.3.4 Competing analyses

Possible analyses for adjunct and SR agreement:

- agreement with thematic role
- agreement with valence of reference verb
- **agreement with underlying case of reference argument**

2.4 Case alternations

Austin (1981a) states about Pitjantjatjara’s SR agreement: “the SS marker is followed by the common noun ergative-case suffix (-lu or -ngku, depending upon dialect) when the main-clause subject is an A NP; such case agreement is a feature of the language.” Even though the term ‘case agreement’ is employed, Austin also implies that agreement on SS markers is related to the thematic role ‘A’ of the subject.

2.4.1 Is agreement on SR markers related to the thematic role of the subject?

Evidence from Shipibo¹ (Panoan, Baker 2013 citing Valenzuela 2003):

In (48-a), ‘monkey’ is the theme argument of the intransitive verb ‘die’ and has absolutive case. An applicative morpheme in (48-b) introduces a malefactive argument. This introduced argument takes absolutive case and ‘monkey’ gets ergative case, even though the thematic role remains constant.

- (48) Case alternation with theta role remaining constant in Shipibo:
- a. Nokon shino-ra mawa-ke.
my.GEN monkey.ABS-PRT die-PRF
‘My monkey died.’
- b. Nokon shino-**n**-ra / (*shino-ra) e-a mawa-xon-ke.
my.GEN monkey-**ERG**-PRT / (*monkey.ABS-PRT) me-ABS die-APPL-PRF
‘My monkey died on me.’ (Baker, 2013, p. 35)

When a new clause marked with SR is introduced, the SS marker agrees with the ergative argument ‘monkey’, which is **not an agent**. This shows that agreement on Shipibo SR markers is not related to the thematic role of the reference subject.

- (49) Agreement on SR markers not related to thematic role in Shipibo:
- [Yapa payot-a pi] -**xon**-ra, nokon shino-**n** e-a mawa-xon-ke.
[fish spoil-PTPL eat] -SS.**ERG**-PRT my.GEN monkey-**ERG** me-ABS die-APPL-PRF
‘Having eaten spoiled fish, my monkey died on me.’ (Baker, 2013, p. 36)

2.4.2 But could the SS markers be agreeing with the valence of the reference verb?

Data from Shipibo shows that verbs in applicative constructions remain intransitive. There are two auxiliaries in the language that are used in short answers: *ik*- substitutes intransitive verbs and *ak*-, transitive. The use of *ik*- below shows that *mawa* ‘die’ remains intransitive despite applicativization.

- (50) Intransitive verbs in applicative constructions remain intransitive:
- Mi-n shino-n-ki mi-a mawa-xon-a? **Ik**-ama / (*ak-ama).
you-GEN monkey-ERG-Q you-ABS die-APPL-PTPL do.**INTR**-NEG / (*do.TR-NEG)
‘Did your monkey die on you?’ ‘No.’ (Baker, 2013, p. 41)

Therefore, these examples show that SR markers in Shipibo actually agree with the case of the reference subject and not with its thematic role, or with the transitivity of the reference verb.

2.4.3 Similar data in in SR-marking Pama-Nyungan languages?

This would depend on the existence of case alternations in the language. Below is one of the instances of case alternation we know of.

- (51) Dative subjects in non-finite clauses in Warlpiri (Simpson, 1991, p. 249, ex. 213)
- [Ngarrka-ngku nya-ngu kurdu,] [karnta-**ku** watiya-kurlu wirriya paka-rninja-rlani.]
[man-ERG see-PST child] [woman-**DAT** stick-PROP boy hit-INF-DS]
‘The man saw the child while the woman was hitting the boy with a stick.’
- (52) Kind of contituation we would like to know about (*italics*)
- [Ngarrka-ngku nya-ngu kurdu,] [karnta-**ku** watiya-kurlu wirriya paka-rninja-rlani],
[man-ERG see-PST child] [woman-**DAT** stick-PROP boy hit-INF-DS]
[*verbing-ku*]
[VERB-INF-SS-**DAT**]
‘The man saw the child while the woman was hitting the boy with a stick *while* VERBing.’

¹Shipibo is an ERG-ABS language with no splits.

2.5 Conclusions

- Ergative languages split along a person/animacy hierarchy *a la* Silverstein (1976) have a tripartite case system. Other unrelated languages with the same system are Nez Perce (Shahaptian, USA), Coast Tsimshian (Tsimshianic, Canada), Semelai (Austro-Asiatic, Malaysia) (Baker, [in press](#)).
- Tripartite languages may or may not have explicit three-way morphology in subsets of nominals. They often have ERG-ABS in some set and NOM-ACC in others.
- In tripartite languages, ‘absolute’ is not a core case, but a label given to the syncretic form of certain nominals which do not have distinct morphology for NOM and ACC.
- The case systems of a number of tripartite Panoan languages have been mislabeled as ‘ergative’ in their descriptions. The analysis parallels that of Pama-Nyungan languages.
- Case agreement and case alternations are important independent diagnostics for underlying three-way case systems.

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