Switch-reference agreement as independent evidence for tripartite case systems in Panoan languages*

Livia Souza (Rutgers University) & Rafael Nonato (Universidade Federal do Rio de Janeiro)

RULing 2015

Abstract

Abstract: The case systems of Panoan languages have been widely described as split-ergative by a number of authors (Valenzuela (2000), Ferreira (2000), Camargo (2002), Costa (2002), Paula (2004), Fleck, 2005, Zariquiey (2006), a.o.) In Souza (2013) I focused on Yawanawa in an attempt to show that this language's case system is actually tripartite (ergative-nominative-accusative), with morphological neutralizations in surface morphology that lead to the apparent split. This proposal draws on works such as Legate (2008) and Legate (2012), as well as Comrie (1991) and Goddard (1982), which suggest a very similar analysis for a number of Australian languages that have surface case 'splits' along a person hierarchy (a la Silverstein (1976)). In this presentation, I aim to fulfill two main goals. The first is to show that a tripartite analysis of the case system is applicable not only to Yawanawa, but to the entire Panoan family. This proposal shows that the term 'split-ergative' is simply a descriptive label with no explanatory power and that a tripartite analysis makes predictions that are both theoretically and diachronically plausible for this language family. The second goal of this presentation is to provide independent evidence from these languages' switch-reference system to support the tripartite case proposal. Once again I'll focus on primary data from Yawanawa, and this time I will draw on Baker (2013), which shows that switch-reference markers in Panoan languages agree with the case of the reference subject. This fact will be used as a diagnostic to show that both [+participant] and [-participant] pronouns have the same underlying case in transitive and intransitive constructions, even though their surface morphology may look otherwise.

1 Introduction

1.1 Goals

- Show that Panoan languages have a tripartite case system and not a "split-ergative" one;
- show that the switch-reference system of these languages provides independent evidence for this claim.

1.2 Proposal

• Same-subject (SS) markers in Panoan languages agree with the case of the reference subject (Baker, 2013). The fact that the same SS marker is used with first, second, and third person pronouns shows that these pronouns have the same underlying case in a given construction despite their distinct surface morphological patterns.

1.3 The Panoan language family

- Panoan languages are spoken in the Southwestern Amazon region, in South America (Peru, Brazil, and Bolivia).
- "The [Panoan] languages show close similarities, indicating a fairly shallow time-depth and recent expansion and split" (Loos, 1999, p. 227). Estimates trace Proto-Panoan to 1,000 years ago (compare to Indo-European, approx. 6,000 years ago) (Lathrap, 1970, p. 187).

^{*}Thank you to Morgan Moyer and Augustina Owusu for helpful comments on this presentation and to the audience at the Australian National University at Canberra for insightful discussion on an earlier version of this talk.

 All uncited data comes from my own research in the Yawanawa language documentation project (ProDocLin – Museu do Índio/UNESCO, 2010-2013)

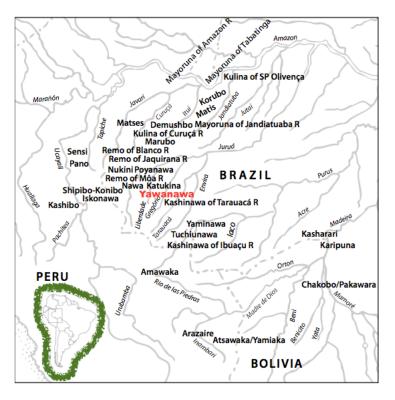


Figure 1: Map of Panoan Languages (adapted from Fleck (2013, p. 8))

2 The case system: Yawanawa data

- (1) Yawanawa's 1sg/2sg pronouns: NOM-ACC pattern¹
 - a. Participant pronoun as transitive subject

 $\tilde{\mathbf{E}}/\mathbf{M}\tilde{\mathbf{i}}$ yawa rete-a.

1s/2s wild.boar kill-PRF

'I/You killed a wild boar.'

b. Participant pronoun as intransitive subject

 $\tilde{\mathbf{E}}/\mathbf{M}\tilde{\mathbf{i}}$ itxu-a.

1s/2s run-prf

'I/You ran.'

c. Participant pronoun as object

Yawã **ea/mia** naka.

wild.boar.erg 1s/2s bite.prf

'The wild boar bit me/you.'

(2) Yawanawa's 3SG pronouns: ERG-ABS pattern².

a. 3sg pronoun as transitive subject

Atũ yawa rete-a.

3s wild.boar kill-PRF

'(S)he killed a wild boar.'

b. 3sg pronoun as intransitive subject

A itxu-a.

3s run-prf

'(S)he ran.'

 $^{^{1}}$ Same pattern applies for 1 PL/ 2 PL, see Souza (2013). I have omitted the case labels here for expository reasons.

²Same pattern applies for full DPs, see Souza (2013)

c. 3sg pronoun as object
 Yawã a naka.
 wild.boar.erg 3s bite.prf
 'The wild boar bit him/her.'

(3) Yawanawa's 3PL pronouns: tripartite pattern

a. 3PL as transitive subject

Ahaũ epe shewa-kãn-i.

3P straw weave-PL-PROG

'They are weaving straw.'

b. 3PL as intransitive subject

Ahu ve-kãn-i.

3P come-PL-PROG

'They are coming.'

c. 3PL as object

1s 3p hit-prf

'I hit them.'

Table 1: Yawanawa's case morphology (Panoan, Souza, 2013, pp. 113–7)

	TRANS. SUBJ	INTRS. SUBJ	OBJECT
1sg	$\tilde{\mathrm{e}}$	ẽ	ea
$1 \mathrm{sg}$ $2 \mathrm{sg}$	mĩ	mĩ	mia
1pl	nũ	$n\tilde{u}$	\mathbf{nuke}
2pl	mã	$ ext{m} ilde{ ext{a}}$	$_{ m matu}$
3sg	atũ	a	a
nouns	-nē, -n	Ø	Ø
3pl	ahãu	ahu	atu

3 The problem with split-ergativity

- The case systems of Panoan languages has been uncontroversially described as 'split-ergative' by a number of authors: Valenzuela (2000), Ferreira (2000), Camargo (2002), Costa (2002), Paula (2004), Fleck, 2005, Zariquiey (2006), a.o.³
- The problem: 'split-ergative' is a descriptive label with no explanatory power. Systems look arbitrary and no predictions can be drawn.

Table 2: Wariapano's case morphology (Panoan, Valenzuela, 2000, pp. 114-118)

	TRANS. SUBJ.	INTRS. SUBJ.	OBJECT
1sg	ibi	ibi	ia
2sg	mibi	mibi	mia
3sg	jabi	jabi	ja
1pl	nobi/numi	nobi/numi	noko
2pl	mibonbi	mibonbi	${f mito/miato}$
3pl	jabonbi	jabonbi	jato
nouns	-n	-Ø	Ø

³A well-known exception is Shipibo Valenzuela (2003), which is ERG-ABS across the board. But see appendix.

Table 3: Shanenawa's case morphology (Panoan, Cândido, 2004, p. 89)

	TRANS. SUBJ.	INTRS. SUBJ.	OBJECT
1sg	in	in	i a
2sg	min	\min	mia
1pl	nun	nun	nuku
2pl	man	man	$_{ m matu}$
3sg	atun/ahun	a/\emptyset	a/\emptyset
3pl	atun/ahun	$\mathrm{atu/ahu}$	$\mathrm{atu/ahu}$
nouns	-n, -ni, -na, -nu	-0	Ø

Table 4: Kashibo's case morphology (Panoan, Zariquiey, 2011, p. 221)

	TRANS. SUBJ.	INTRS. SUBJ.	OBJECT
1sg	'ën	'ë x	'ë
2sg	$\mathrm{mi}\mathbf{n}$	mix	$_{ m mi}$
3sg	an	$a\mathbf{x}$	a
1du.incl	nun	nux	nu
2 du	mitsun	mitsux	mitsu
$3 \mathrm{du/pauc}$	atun	atux	atu
1pl.incl	nukama n	nukama x	nukama
1pl.excl	'ëkama n	'ë $kamax$	ʻëkama
2pl	mikama n	$\operatorname{mikama} \mathbf{x}$	$_{ m mikama}$
3pl	akama n	$akama\mathbf{x}$	akama
'who'	= n	$=\mathbf{x}$	-Ø
nouns	= n	Ø	Ø

4 Inspiration across the South-Pacific

Pama Nyungan languages (Australia) have very similar 'split-systems':

Table 5: Pitta-Pitta's case morphology (Pama Nyungan, Blake, 1977, p. 18)

	TRANS. SUBJ.	INTRS. SUBJ.	OBJECT
pronouns	-lu	-Ø	-na
nouns	-lu	-Ø	-na

Table 6: **Pitjantjatjara**'s case morphology (Pama Nyungan, Eckert and Hudson, 1988, pp. 104,109,145,148)

	TRAN	S. SUBJ.	INTRS. S	SUBJ.	OBJECT	Γ
	long	short	long	short	long	short
1sg	ngayulu	- <u>n</u> a	ngayulu	-na	ngayu nya	ni
2sg	nyuntu	-n	nyuntu	-n	nyuntu nya	-nta
3sg	paluru	Ø	paluru	Ø	palu nya	-Ø
1du	ngali	-li	ngali	-li	ngali nya	-linya
2du	nyupali	-n	nyupali	-n	nyupali nya	-nta
3 du	pula	-pula	pula	-pula	pula nya	-Ø
1pl	nganana	-la	nganana	-la	ngana <u>n</u> a nya	-lanya
2pl	nyura	-n	nyura	-n	nyura nya	-nta
3pl	tjana	-ya	tjana	-ya	tjana nya	-Ø
common nouns	-ngku, -t	ju, -tu, - <u>t</u> u	-Ø		-Ø	
proper nouns	-lu, -tjı	ı, -tu, - <u>t</u> u	-nya, -	nga	-nya, -ng	ga

	TRANS. SUBJ.	INTRS. SUBJ.	OBJECT
non sg. 1 & 2	Ø	Ø	-na
other pronouns	-li	Ø	-na
non sg. common N	-li	Ø	-na
female names	-ndu	-ni	-na
male names	-li	-na	-na
sg. common N	-li, -vali	Ø	Ø

Table 7: Diyari's case morphology (Pama Nyungan, Goddard, 1982, pp. 170-1)

5 Abstract case vs. surface morphology

- Split ergativity, Silverstein (1976, p. 113)'s generalization: nominals higher in the person hierarchy (animate nouns, 1st and 2nd person pronouns) tend to have NOM-ACC case pattern; lower nominals (inanimate nouns, 3rd persons) tend to have ERG-ABS case pattern. Some languages have an intermediate terrain with three distinct forms for transitive subject, intransitive subject and object.
- Goddard (1982), Comrie (1991): Split case MARKING vs. split case SYSTEM. A distinction is drawn between "case" and "case form" (abstract case and surface morphology). 'The case of any nominal can be determined by substituting for it a nominal from the subclass with tripartite marking, therefore such languages must be regarded as having three core cases.
- Baker (2015) follows Legate (2008): nominative-accusative pronouns have the same word order and binding properties as ergative-absolutive NPs. It is most easily understood if the syntactic rules of case assignment are tripartite across the board and then case is spelled out differently after different lexical items. Thus, 'absolutive' is not a structural case. It is a morphological default that substitutes for more specific nominative and accusative marking, when in lack. ⁴

5.1 Independent evidence: apparent case mismatches

Djapu, (Pama-Nyungan, Morphy 1983, cited by Legate 2008): all elements of an NP, whether continuous or discontinuous, must be marked for case and match in case.

- (4) All elements of a DP must match in case
 - a. nganapurru-nggalangu-w djamarrkurli-w' yumurrku-w dhiya-ku Djapu-w 1PL.EXCL-OBL.S-DAT children-DAT small.PL-DAT this-DAT Djapu-DAT 'for these our small Djapu children' (Morphy, 1983, p. 123)
 - b. Bala ngayi ga:rri-nya-mara-m birrka'mirr rdung'rtung ngurikal-yi then he.NOM enter-NMLZR-CAUSE-UNM anything.**ABS** palpitating.**ABS** that.**OBL**-ANAPH yolngu-wal. person-**OBL**

'Then he puts some other palpitating thing into that person.' (Morphy, 1983, p. 40)

It is possible to combine a demonstrative, which has ERG-ABS surface morphology and a human noun, which has tripartite ERG-NOM-ACC surface morphology, giving rise to apparent case mismatches.

(5) Apparent case mismatches in Djapu's NPs:

- a. Wungay' marrtji-nya [ngunhi-ny-dhi yolngu-n honey go-PAST.NONINDIC [that.ABS-PRO-ANAPH person-ACC wapirti-warrtju-na-puyngu-nha-ny] weka-nha. stingray-spear.PL-NMLZR-INHAB-ACC-PRO] give-PAST.NONINDIC 'We would go and give honey to those people who were spearing stingrays (lit. 'to those stingray-spearing people').' (Morphy, 1983, p. 110)
- b. [Dhuwa. nhe.] yurru lili dha:parng rongiyi-rr.
 [this. ABS you. NOM] FUT HITHER unsuccessful return-UNM
 'YOU will return empty handed [but not I].' (Morphy, 1983, p. 84)

 $^{^4}$ Though the two authors disagree with respect to the mechanism of ergative case assignment: inherent case (Legate, 2008) vs. dependent case (Baker, 2015).

(6)Mismatch disappears, if all nominals are assumed to have tripartite abstract case. 'ABS'=NOM/ACC.⁵ Wungay' marrtji-nya [**ngunhi**-ny-dhi volngu-nhonev go-PAST.NONINDIC [that.NOM/ACC-PRO-ANAPH person-ACC wapirti-warrtju-na-puvngu-**nha**-nv l weka-nha. stingray-spear.PL-NMLZR-INHAB-ACC-PRO | give-PAST.NONINDIC 'We would go and give honey to those people who were spearing stingrays (lit. 'to those stingray-spearing people').' (Morphy, 1983, p. 110) $\mathrm{nhe.} \emptyset$ b. Dhuwa.] yurru lili dha:parng rongiyi-rr.

[this.**NOM**/ACC you.**NOM**] FUT HITHER unsuccessful return-UNM 'YOU will return empty handed [but not I].' (Morphy, 1983, p. 84)

Jaminawa/Yaminahua (Panoan, Faust and Loos 2002, p. 55): adjuncts have different morphology depending on whether they agree with an ergative (-xõ) or an nominative (-ax) subject. Participant pronouns have NOM-ACC morphology, giving rise to an apparent case mismatch.

- (7) Apparent case mismatches on Jaminawa's argument-adjunct agreement:
 - a. Yome-ax ē niri o-ni.
 boy-when.NOM 1SG.NOM here come-PAST.REM
 - 'When I was a boy, I came here.'
 - b. Yome pisht-ax ē na-kera-ni.
 boy small-when.NOM 1SG.NOM die-INCEPT-PAST.REM
 'When I was a boy, I almost died.'
 - c. **Ē** naetapa-**xō** koma rete-ni.
 1SG.**NOM** young-when.**ERG** pheasant hunt-PAST.REM
 'When I was young, I hunted a pheasant.'
 - d. Yome pishta-**xõ ē** pari oĭ-pao-ni. boy small-when.**ERG** 1SG.**NOM** father see-PAST.PROG-PAS.REM
 - When I was little, I used to see the priest.'
- (8) Mismatch disappears, if all pronouns are assumed to have tripartite abstract case. "Ē"=ERG/NOM⁶
 - a. Yome-ax ē niri o-ni.
 boy-when.NOM 1SG.ERG/NOM here come-PAST.REM
 'When I was a boy, I came here.'
 - b. Yome pisht-ax **ē** na-kera-ni.
 boy small-when.**NOM** 1SG.ERG/**NOM** die-INCEPT-PAST.REM
 (When I was a how Lalmost died.)
 - 'When I was a boy, I almost died.'
 - c. $\tilde{\mathbf{E}}$ naetapa- $\mathbf{x}\tilde{\mathbf{o}}$ koma rete-ni. 1SG. $\mathbf{E}\mathbf{r}\mathbf{G}/\mathrm{NOM}$ young-when. $\mathbf{E}\mathbf{r}\mathbf{G}$ pheasant hunt-PAST.REM
 - 'When I was young, I hunted a pheasant.'
 - d. Yome pishta-**xõ ē** pari oĩ-pao-ni. boy small-when.**ERG** 1SG.**ERG**/NOM father see-PAST.PROG-PAS.REM When I was little, I used to see the priest.'

5.2 Bringing it home

Neutralizations of abstract case in surface morphology are far from exotic:

Table 8: Latin (Comrie, 1991, p. 43)

	NOM	ACC	VOC
"war"	bell um	bell um	bellum
"world"	mundus	mundum	munde

 $[\]overline{\ }^{5}$ This is the same example as the last one, except I changed the ABS gloss into NOM/ACC, that is, I glossed the morphological neutralization.

 $^{^6}$ This is is the same example as the last one, except I changed the NOM gloss into ERG/NOM, that is, I glossed the morphological neutralization.

Table 9: Brazilian Portuguese

	NOM	ACC
1sg	eu	me
2sg	tu/você	te
3sg	ele/ela	ele/ela

Table 10: English (Arregi and Nevins, 2013)

	NOM	ACC	GEN
3s.masc	he	$_{ m him}$	his
3s.fem	she	her	her

6 Back to South America: proposal for Panoan system

Table 11: Yawanawa's case system

	ERG	NOM	ACC
1sg	$\tilde{\mathrm{e}}$	ẽ	ea
2sg	mĩ	m \tilde{i}	\mathbf{mia}
1pl	nũ	$n\tilde{u}$	\mathbf{nuke}
2pl	mã	${ m m ilde{a}}$	$_{ m matu}$
3sg	atũ	a	a
nouns	-nē, -n	Ø	Ø
3pl	ahãu	ahu	atu

Table 12: Kashibo's case system

	ERG	NOM	ACC
1sg	'ë n	'ë x	'ë
2sg	\min	$\mathrm{mi}\mathbf{x}$	$_{ m mi}$
3sg	an	$a\mathbf{x}$	a
1du.incl	nun	nux	nu
2 du	mitsun	mitsux	mitsu
$3 \mathrm{du/pauc}$	atun	$\operatorname{atu}\mathbf{x}$	atu
1pl.incl	nukama n	nukama ${f x}$	nukama
1pl.excl	ʻëkama n	ʻëkama ${f x}$	$\ddot{\mathrm{e}}$ kama
2pl	mikama n	$\operatorname{mikama} \mathbf{x}$	$_{ m mikama}$
3pl	akama n	$\operatorname{akama}\mathbf{x}$	akama
'who'	= n	$=\mathbf{x}$	-Ø
nouns	= n	-Ø	−Ø

Predictions:

- Morphological neutralizations will create different surface 'case splits';
- Systems may go fully ERG-ABS or NOM-ACC.

7 Independent evidence from switch-reference

So far, 2 pieces of evidence point in the direction of a tripartite case system for Pama Nyungan and Panoan languages:

- apparent case mismatches on the elements of a DP,
- subject-adjunct agreement.

Case agreement on switch reference markers will be introduced as a third piece of evidence for this analysis.

7.1 What is switch-reference again?

Consider the ambiguous English sentence: (Jacobsen, 1967)

(9) After he_i came, he_{i/j} left.

Switch-reference languages never have such ambiguities. A switch-reference marker is a morpheme that indicates subject retention or subject switch between a subordinate clause and a main clause.

- (10) a. After he_i came-SS, he_i left.
 - b. After he_i came-**DS**, he_j left.
- (11) Mojave (Yuman, Langdon and Munro 1979)
 - a. [nya-isvar-k] i:ma-k
 when-sing-SS dance-tns
 'When he sang, he danced.'
 - b. [nya-isvar-m] i:ma-kwhen-sing-DS dance-tns'When he_i sang, he_i danced.'

Some properties of switch-reference markers:

- may or may not carry other meanings in addition (tense/aspect),
- are obligatory even if sentence is not ambiguous (syntactic, not pragmatic).
- (12) Yawanawa (Panoan): SS vs. DS, overlapping events
 - a. [Ē atsa pi]-kī (ē) Livia kena. 1S.ERG yucca eat-ss.simult 1S.ERG Livia call.PRF

'When I was eating yucca, I called Livia.'

- b. [E atsa pi-ai]-nũ ea Livia-nẽ kena.
 1S.ERG yucca eat-IMPF-DS.SIMULT 1S.ACC Livia-ERG call.PRF
 'When I was eating yucca, Livia called me.'
- (13) Yawanawa (Panoan): SS, sequenced vs. overlapping events
 - a. [Yuma atxi]-shũ ẽ pi-a.
 fish catch-SS.PREV 1S.ERG eat-PRF
 'I caught and ate fish.'
 - b. [Pi-pai]-kĩ ẽ yuma atxi-a.
 eat-DES-SS.SIMULT 1S.ERG fish catch-PRF
 'I caught fish to eat.' lit. 'Willing to eat, I caught fish.'

7.2 Switch-reference agreement in Panoan

- (14) A key property of Panoan switch reference: Yawanawa SS marker agrees with case of reference subject.
 - a. Ē kehuisā mutsa-**shū**/*ashe tua-i. 1S.ERG bacaba.fruit squeeze-SS.PREV.**ERG**/*SS.PREV.NOM strain-PROG 'I squeezed bacaba and now I'm straining it.'
 - b. E-wẽ kuka-∅ niik-ashe/*shũ iyã kesha-ki nuku-a 1s-poss uncle-nom hunt-ss.prev.nom/*ss.prev.erg lake edge-at arrive-prf runu-wã-nẽ she-a. snake-AUM-ERG swallow-prf
 'My uncle went hunting and when he arrived at the edge of a lake, an anaconda swallowed
 - Agreement on switch-reference morpheme is **not** with thematic role of subject (**vs.** Valenzuela 2003):

In (15-a), 'monkey' is the theme argument of the intransitive verb 'die' and has absolutive case. An applicative morpheme in (15-b) introduces a malefactive argument. This introduced argument takes absolutive case and 'monkey' gets ergative case, even though the thematic role remains constant.

- (15) Case alternation with theta role remaining constant in Shipibo (Panoan, Baker 2013, p. 35):
 - a. Nokon shino-ra mawa-ke.
 my.GEN monkey.ABS-PRT die-PRF
 'Mv monkey died.'

him.'

b. Nokon shino-**n**-ra / (*shino-ra) e-a mawa-xon-ke. my.GEN monkey-**ERG**-PRT / (*monkey.ABS-PRT) me-ABS die-APPL-PRF 'My monkey died on me.'

When a new clause marked with SR is introduced, the SS marker agrees with the ergative argument 'monkey', which is **not an agent**.

(16) Agrement on SR markers not related to thematic role in Shipibo (Baker 2013, p. 36): [Yapa payot-a pi]-xon-ra, nokon shino-n e-a mawa-xon-ke. [fish spoil-PTPL eat]-SS.ERG-PRT my.GEN monkey-ERG me-ABS die-APPL-PRF 'Having eaten spoiled fish, my monkey died on me.'

• Agreement on switch-reference morpheme is **not** with verbal transitivity (vs. Valenzuela 2003):

Verbs in applicative constructions in Shipibo remain intransitive. There are two auxiliaries in the language that are used in short answers: ik- substitutes intransitive verbs and ak-, transitive. The obligatory use of ik- below shows that mawa 'die' remains intransitive despite applicativization.

(17) Intransitive verbs in applicative constructions remain intransitive (Baker 2013, p. 41):
Mi-n shino-n-ki mi-a mawa-xon-a? **Ik**-ama / (*Ak-ama).
you-gen monkey-erg-Q you-abs die-appl-ptpl do.**Intr**-neg / (*do.tr-neg)
'Did your monkey die on you?' 'No.'

Therefore, SR markers in Shipibo agree with the case of the reference subject and not with its thematic role, or with the transitivity of the reference verb.

7.3 So far...

- case systems with person 'splits' are tripartite: ERG-NOM-ACC;
- switch-reference markers keep track of subject reference in complex constructions;
- switch-reference markers in Panoan languages agree with the case of the reference subject.

7.4 The two systems converge

Pitjantjatjara (Austin 1981, Pama-Nyungan citing Glass and Hackett 1970, pp. 39,99): Purpose clauses are marked by *kija* if subject is the same (SS), and *jaku* if subjects are different (DS). The SS marker is followed by an ERG marker if the subject of the main clause is ERG, as in (18-a). There is no such case agreement on DS markers, as in (18-c).

- (18) Pitjantjatjara's agreement on switch-reference markers⁷
 - a. Ergative agreement with transitive subject
 [wati nyarra]-lu kupurlu-∅ manyji-nu, jiji-∅ pungku-kija-lu.
 [man that]-ERG club-ABS get-PAST child-ABS hit-PURP(SS)-ERG
 'That man got a club to hit the child.'
 - b. Nominative agreement with intransitive subject palunyanya kutipija-ngu, lankurru palyal-kija-Ø. he-NOM goaway-PAST spearthrower.ABS make-PURP(SS)-NOM 'He went away to make a spear thrower.'
 - c. No agreement with DS marker paarlparniya-Ø ninti-la, mirru mukul junku-jaku. sinew-ABS give-IMP spear.thrower hook put-PURP(DS)

 'Give (me) sinew so (I) can put the hook on the spear thrower.'

Pronouns have NOM-ACC surface morphology, but the agreement marker on the same-subject switch reference marker is ERG, which is evidence for the tripartite case system.

- (19) Pitjantjatjara's pronouns agree with ergative ss marker despite ambiguities
 - a. katima, mungarrji-**lin** ngalku-kija-**lu** bring.FUT afternoon-1DU.INCL.**ERG**/NOM eat-PURP(SS)-**ERG**'(I) will bring(it) back for us two to eat in the afternoon.'
 - b. pampuny-jamaal-tu wanti touch-REL(SS)-ERG leave-IMP 'Leave (it) without touching (it)!'

⁷The NOM form of the 3rd person pronoun in (18-b) from this source differs from the form given by Eckert and Hudson (1988). We do not know this difference is due to dialectal variation or to some mistake.

Yawanawa: ss marker $sh\tilde{u}$ agrees with ergative subjects and ashe with nominative subjects. The same markers are used both for participant and non-participant pronouns. This is evidence that participant pronouns in transitive subject position are indeed ergative, despite having NOM-ACC surface morphology.

- (20) Yawanawa's SS marker agrees in ergative case with participant and non-participant pronouns
 - a. A-tũ awa txatxi-shũ rete-a.
 3s-erg tapir stab-ss.prev.erg kill-prf

'He stabbed and (then) killed the tapir.'

b. Ē kehuisā mutsa-**shū** tua-i.

1SG.ERG/NOM bacaba squeeze-SS.PREV.ERG strain-PROG

'I squeezed the bacaba fruit and now I'm straining it.'

c. Shukuvena ni-**ashe** shanẽihu i-pau-ni.

Shukuvena stand-ss.prev.**nom** chief Aux.intr-impf-rem.pst

'When Shukuvena was alive, he was the chief.'

d. Ē ni-**ashe** ẽ shaneihu

1SG.NOM/ERG stand-SS.PREV.NOM 1SG.NOM/ERG chief

i-pau-ni.

AUX.INTRS-PROG.PST-REM.PST

'When I was alive, I was the chief (said the ghost)'

8 Conclusions

- Ergative languages split along a person/animacy hierarchy *a la* Silverstein (1976) have a tripartite case system. Other unrelated languages with tripartite systems are Nez Perce (Shahaptian, USA), Coast Tsimshian (Tsimshianic, Canada), Semelai (Austro-Asiatic, Malaysia) (Baker, 2015).
- Tripartite languages may or may not have explicit three-way morphology in subsets of nominals. They often have ERG-ABS in some set and NOM-ACC in others.
- In tripartite languages, 'absolutive' is not a core case, but a label given to the syncretic form of certain nominals which do not have distinct morphology for NOM and ACC.
- The case systems of a number of tripartite Panoan languages have been mislabeled as 'ergative' in their descriptions. The analysis parallels that of Pama-Nyungan languages.
- Case agreement on elements of a DP, adjuncts, and switch-reference markers are important independent pieces of evidence for underlying three-way case systems.

9 Appendix

As mentioned, Shipibo (Panoan) is an apparent counter-example to the tripartite generalization for Panoan languages, since its case system is ergative-absolutive across the board. Two comments are in order, however:

- as laid out in the predictions for tripartite languages, morphological neutralizations may shift case systems diachronically towards ergative-absolutive or nominative-accusative;
- despite the ERG-ABS case, some grammatical systems of Shipibo show either a split or a tripartite alignment (see examples below).

9.1 Plural agreement marker

"The verbal suffix -kan indicates that the S or A argument of the clause is plural (...) -kan operates on a nominative basis." (Valenzuela, 2003, p. 521):

- (21) Plural agreement marker in Shipibo follows nominative pattern
 - a. Jaino-a-x bo-**kan**-ai chiponki.... there:LOC-ABL-S go.N.SG-**PL**-INC down.the.river 'Then they went down the river....'

- b. Ja-tian ani nonti-n westiora atsa xeati chomo that-TEMP big canoe-LOC one yuca drinkjar:ABS INTERIOR-seat-**PL**-INC na-yasan-**kan**-ai...
 - 'Then they put ajar of yuca beer in a big canoe.....'
- c. ...Ja Joni icha pltI-a bane-ni-ke; jatibi roo rete-kin that man:ABS much food-PROP remain-REM-CMPL all howler.monkey:ABS kill-SSSA keyo-ax.

finish-PSSS

'And so the man remained with a lot of food, after having killed all the howler monkeys.'

9.2 Emphatic pronouns

"Emphatic pronouns occur necessarily in the -n form and are coreferential with the S [intransitive subject] or A [transitive subject] argument of the same clause." (Valenzuela, 2003, p. 522):

- (22) Emphatic pronouns in Shipibo follow a nominative-accusative case pattern:
 - a. Mane-ra ja-**n**-bi-x mawa-ke.

Mane: ABS-EV 3-NOM-EM-S die-CMPL

'Mane himself died / died by his own action.'

b. Wesna-**n**-ra ja-**n**-bi rao xea-ke.

Wesna-ERG-EV 3-NOM-EM medicine: ABS drink-CMPL

'Wesna herself drank the medicine.'

c. Nato r-iki e-n piti be-a, ja-**0**-bi moa bo-tan-we. this EV-COP 1-ERG eat-INF:ABS bring-PP2:ABS 3-ACC-EM:O already carry-go.and.do-IMP 'This is the fish I have caught, you better take THIS home already (rather than continue waiting for your father who also went fishing).'

From Valenzuela (2003): "Although emphatic pronouns follow a **nominative-accusative** distribution in terms of case-marking, their overall alignment is **tripartite** (...)

- (23) Tripartite distribution of emphatic pronouns in Shipibo:
 - a. Intransitive subject: PRO-n + bi + -x

E-a-ra e-**n-bi-x**-bi-shaman beno-ama-[a] iki.

1-ABS-EV 1-NOM-EM-S-NICELY get.married-NEG-PP2 AUX

'I didn't get married according to my own will.'

b. Transitive subject: PRO-n + bi + $-\emptyset$

Wesna-**n**-ra ja-**n**-bi rao xea-ke.

Wesna-Erg-ev 3-nom-em medicine: Abs drink-cmpl

'Wesna herself drank the medicine.'

- c. Object: PRO- \emptyset + bi + \emptyset
- d. Nato r-iki e-n piti be-a, ja-Ø-bi moa bo-tan-we. this EV-COP 1-ERG eat-INF:ABS bring-PP2:ABS 3-ACC-EM:O already carry-go.and.do-IMP 'This is the fish I have caught, you better take THIS home already (rather than continue waiting for your father who also went fishing).'

References

Arregi, Karlos and Andrew Nevins (2013). "Contextual neutralization and the Elsewhere Principle". In: ed. by Alec Marantz and Ora Matushansky.

Austin, Peter (1981). A Grammar of Diyari, South Australia. 32.

Baker, Mark (2013). "On dependent ergative case (in Shipibo) and its derivation by phase". Manuscript, Rutgers University; revised version to appear in Linguistic Inquiry.

— (2015). Case: Its Principles and Parameters. Cambridge University Press.

Blake, Barry J. (1977). Case marking in Australian languages. Canberra, Australian Institute of Aboriginal Studies.

- Camargo, Eliane (2002). "Cashinahua personal pronouns in grammatical relations". In: ed. by Sérgio Meira Mily Crevels Simon van de Kerke and Hein van der Voort. Leiden: Universiteit Leiden.
- Comrie, Bernard (1991). "Form and function in identifying cases". In: *The Economy of Inflection*. Ed. by F. Plank. Berlin, New York: Mouton de Gruyter, pp. 41–56.
- Costa, Raquel (2002). "Ergatividade Cindida em Marubo (Pano)". In: Línguas Indígenas Brasileiras: Fonologia, Gramática e História, Atas do I Encontro Internacional do Grupo de Trabalho sobre Línguas Indígenas da ANPOLL. Ed. by Ana Suelly Arruda Câmara Cabral and Aryon Dall'Igna Rodrigues. Vol. 2. Editora Universitária UFPA, pp. 89–101.
- Cândido, Gláucia Vieira (2004). "Descrição Morfossintática da língua Shanenawa (Pano)". PhD thesis. Universidade Estadual de Campinas.
- Eckert, Paul and Joyce Hudson (1988). Wangka Wiru: a handbook for the Pitjantjatjara language learner. University of South Australia. Reprinted in 2005.
- Faust, Norma and Eugene Loos (2002). "Gramática del idioma Yaminahua". In: Instituto Linguistico de Verano.
- Ferreira, Rogério Vicente (2000). "Um ensaio sobre a ergatividade na língua Matis (Pano)". In: Actas: I Congreso de Lenguas Indígenas de Sudamérica 1. Ed. by Luis Miranda, pp. 259–264.
- Fleck, David (2005). "Ergatividade em Matsés (Pano)". In: LIAMES (Línguas Indígenas Americanas) 5, pp. 89–111.
- (2013). "Panoan Languages and Linguistics". In: Anthropological Papers of The American Museum of Natural History. Ed. by Mary Knight.
- Glass, Amee and Dorothy Hackett (1970). Pitjantjatjara grammar: A tagmemic view of the Ngaanyatjara (Warburton Ranges) dialect. Canberra: AIAS.
- Goddard, Cliff (1982). "Case systems and case marking in Australian languages: A new interpretation". In: Australian Journal of Linguistics 2, pp. 167–196.
- Jacobsen, William (1967). "Switch-Reference in Hokan-Coahuiltec". In: Studies in Southwestern Ethnolinguistics. Mouton, The Hague.
- Langdon, Margaret and Pamela Munro (1979). "Subject and (Switch-)Reference in Yuman". In: Folia Linguistica 13.
- Lathrap, D.W. (1970). The upper Amazon. New York: Praeger.
- Legate, Julie (2008). "Morphological and abstract case". In: Linquistic Inquiry 39.1, pp. 55–101.
- Legate, Julie Anne (2012). "Types of ergativity". In: Lingua 122, pp. 181–191.
- Loos, Eugene (1999). "Pano". In: The Amazonian Languages. Cambridge CUP.
- Morphy, Frances (1983). "Djapu, a Yolngu dialect". In: *Handbook of Australian languages*. Ed. by R. M. W. Dixon and Barry J. Blake. Vol. 3. John Benjamins: Amsterdam, pp. 1–188.
- Paula, Aldir Santos de (2004). "A Língua dos Índios Yawanawa do Acre". PhD thesis. Universidade Estadual de Campinas.
- Silverstein, Michael (1976). "Hierarchy of features and ergativity". In: *Grammatical categories in Australian languages*. Ed. by R. M. W. Dixon. Linguistic series 22. Canberra: Australian Institute of Aboriginal Studies, pp. 112–171.
- Souza, Livia Camargo (2013). "Fonologia, Morfologia e Sintaxe das Expressões Nominais em Yawanawá (Pano)". MA thesis. Universidade Federal do Rio de Janeiro.
- Valenzuela, Pilar (2000). "Ergatividad escendida en Wariapano, Yaminawa y Shipibo-Konibo". In: Essays on indigenous languages of lowland South America: Contributions to the 49th International Congress of Americanists in Quito 1997. Ed. by Hein van der Voort and Simon van de Kerke. Leiden: Universiteit Leiden, pp. 111–128.
- (2003). "Transitivity in Shipibo-Konibo Grammar". PhD thesis. University of Oregon.
- Zariquiey, Roberto (2006). "Hacia una Reconstrucción del Sistema Personal del Protopano. Aspectos Fonológicos y Morfológicos". MA thesis. Pontificia Universidad Católica del Perú, Lima.
- (2011). "A grammar of Kashibo-Kakataibo". PhD thesis. La Trobe University.